

B 210A (Form 210A) (12/09)

**United States Bankruptcy Court  
Southern District of New York**

In re Lehman Brothers Holdings Inc., et al., Debtors.

Case No. 08-13555 (JMP)  
(Jointly Administered)

**TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Barclays Bank PLC

Name of Transferee

Encore Fund, L.P.

Name of Transferor

Name and Address where notices to transferee should be sent:

Barclays Bank PLC  
Attn: Howard H. Lee  
1301 Avenue of the Americas | 8<sup>th</sup> Floor  
New York, NY 10019  
Tel: 212-320-7581  
Email: [howard.lee@barclays.com](mailto:howard.lee@barclays.com)

With a copy to:

Sidley Austin LLP  
787 Seventh Avenue  
New York, NY 10019  
1.212.839.6733  
[acallahan@sidley.com](mailto:acallahan@sidley.com)

A/C #: 050-019104

Court Claim # (if known): 34460  
Amount of Claim: \$3,316,824.36  
Date Claim Filed: September 23, 2009  
Debtor: Lehman Brothers Holdings Inc.

Encore Fund, L.P.  
c/o Symphony Asset Management LLC  
555 California Street, Suite 2975  
San Francisco, California 94104  
Attn: Judith MacDonald  
Tel: 415-676-4144  
Email: [judith.macdonald@symphonyasset.com](mailto:judith.macdonald@symphonyasset.com)

With a copy to:

Schulte Roth & Zabel LLP  
919 Third Ave.  
New York, New York 10022, USA  
Attn: David Karp  
Email: [david.karp@srz.com](mailto:david.karp@srz.com)  
Phone: 212.756.2175


A/C #: 102-44194-24

Name and Address where transferee payments  
should be sent (if different from above):

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct  
to the best of my knowledge and belief.

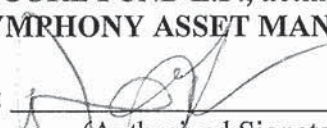
By:  \_\_\_\_\_  
Transferee/Transferee's Agent

Date: 12/11/13

*Penalty for making a false statement:* Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 Y.S.C. §§ 152 & 3571.

Acknowledged and agreed:

**ENCORE FUND L.P., acting through its general partner  
SYMPHONY ASSET MANAGEMENT LLC**

By:  \_\_\_\_\_  
(Authorized Signatory)

Name: David Goldstein  
Title: COO

Date: 12/11/13

EVIDENCE OF TRANSFER OF CLAIM

TO: Clerk, United States Bankruptcy Court, Southern District of New York

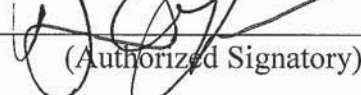
AND TO: ENCORE FUND, L.P. ("Seller")

Seller, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged and pursuant to the terms of an Assignment of Claims Agreement dated as of the date hereof, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned to BARCLAYS BANK PLC, its successors and assigns ("Buyer"), all right, title and interest in and to the claim of Seller against Lehman Brothers Holdings Inc. relating to proof of claim number 34460 (the "Claim") in the United States Bankruptcy Court, Southern District of New York, Case No. 08-13555(JMP).

Seller hereby waives any notice or hearing requirements imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, and stipulates that an order may be entered recognizing this assignment of claim as an unconditional assignment and Buyer herein as the valid owner of the Claim. You are hereby requested to make all future payments and distributions, and to give all notices and other communications, in respect to the Claim to Buyer.

IN WITNESS WHEREOF, dated as of December 11, 2013

**ENCORE FUND, L.P., acting through its  
general partner SYMPHONY ASSET  
MANAGEMENT LLC**

By: \_\_\_\_\_  
(Authorized Signatory)

Name: David Goldstein  
Title: Chief Operating Officer